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PTO/SB/21-6-98
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing) EV085429464	TECHNOLOGY CENTER 2000	Application Number	09/834,660
		Filing Date	April 21, 2001
		First Named Inventor	Luan C. Tran
		Group Art Unit	2813
		Examiner Name	L. Schillinger
Total Number of Pages in This Submission		Attorney Docket Number MI22-1637	

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition Routing Slip (PTO/SB/09) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Return Receipt Postcard Petition for Revival of an Application (37 CFR 1.137(a)) Petition to Withdraw Holding of Abandonment (37 CFR 1.181)
Remarks		Customer no. 021567
Additional Enclosures: Petition to Revive (37 CFR 1.137(b)) Statement Attesting to Mailing Copies of Amendment Documents filed 4/30/2002 Copies of Auto-confirmation of Facsimiles sent 1/15/2002 & 3/4/2003		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	James D. Shaurette, Reg. No. 39,833 Wells St. John P.S.
Signature	
Date	3/4/03

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as <u>express</u> mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>3-4-2003</u>	
Typed or printed name	Rhonda Rambo
Signature	
Date	3/4/03

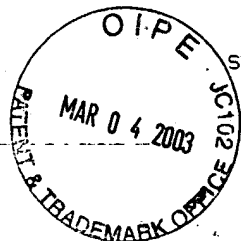
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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START=MAR-04 10:31

END=MAR-04 10:44

FILE NO.=480



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-WELLS ST JOHN PS

***** -5098383424 - ***** 5098383424- *****

EV085429464

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/834,660
 Filing Date April 12, 2001
 Inventor Luan C. Tran
 Assignee Micron Technology, Inc.
 Group Art Unit 2813
 Examiner Laura Schillinger
 Attorney's Docket No. MI22-1637
 Title: Semiconductor Processing Methods Of Forming Transistors, Semiconductor
 Processing Methods Of Forming Dynamic Random Access Memory Circuitry, and
 Related Integrated Circuitry

John Gillon
 Office of Petitions
 Assistant Commissioner for Patents
 Washington, D.C. 20231

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OFFICE OF PETITIONS

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office at (703) 308-6916 on the date shown below:

The following documents are enclosed:

1. Transmittal Form (PTO/SB/21)
2. Petition for Revival under 37 C.F.R. 1.137(b) (PTO/SB/64)
3. Petition for Revival under 37 C.F.R. 1.137(a) (PTO/SB/61)
4. Petition under 37 C.F.R. 1.181
5. Copy of Response to 1/30/2002 Office Action filed 4/30/2002 (including Ex-Post Declaration, PTO Stamped Return Receipt Postcard, and Check No. 13486) and Canceled Check No. 134863
6. Statement Attesting to Express Mailing of P.T.O. Correspondence Under 37 C.F.R. 10
7. Certificate of Transmission under 37 C.F.R. §1.8 (PTO/SB/97)
8. Copy of Auto-confirmation of Facsimile sent 1/15/2003

Dated: 3/4/2003

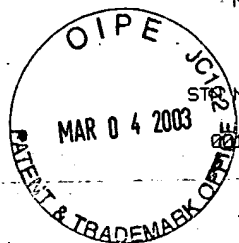
By: [Signature]
 Natalie King
 Telephone No. (509) 624-4276
 Facsimile No. (509) 838-3424

NUMBER OF PAGES IN FACSIMILE: 44

MODE = MEMORY TRANSMISSION

START=JAN-15 12:31

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STATION NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
OK			2*1637#17033086916	042/042	00:10:47

-WELLS ST JOHN PS

***** -5098383424 - ***** 5098383424- *****

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/834,660
 Filing Date April 12, 2001
 Inventor Luan C. Tran
 Assignee Micron Technology, Inc.
 Group Art Unit 2813
 Examiner Laura Schillinger
 Attorney's Docket No. MI22-1637
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John Gillon
 Office of Petitions
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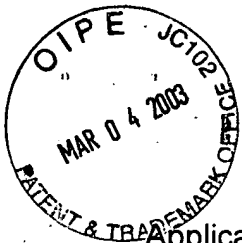
1. Transmittal Form (PTO/SB/21)
2. Petition for Revival under 37 C.F.R. 1.137(b) (PTO/SB/64)
3. Petition for Revival under 37 C.F.R. 1.137(a) (PTO/SB/61)
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7. Certificate of Transmission under 37 C.F.R. §1.8 (PTO/SB/97)

Dated: 1/15/2003

By:
 Natalia King
 Telephone No. (509) 624-4276
 Facsimile No. (509) 838-3424

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/834,660
Filing Date April 12, 2001
Inventor Luan C. Tran
Assignee Micron Technology, Inc.
Group Art Unit 2813
Examiner Laura Schillinger
Attorney's Docket No. MI22-1637
Title: Semiconductor Processing Methods Of Forming Transistors, Semiconductor
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John Gillon
Office of Petitions
Assistant Commissioner for Patents
Washington, D.C. 20231

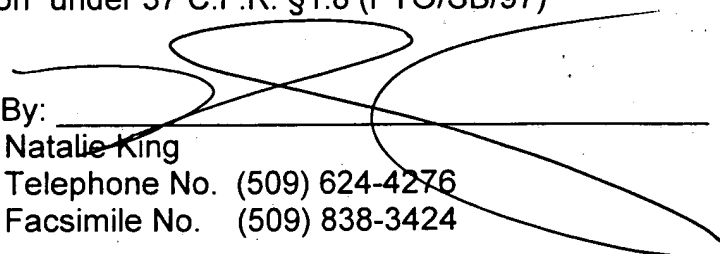
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Post Declaration, PTO Stamped Return Receipt Postcard, and Check No.
13486) and Canceled Check No. 134863
6. Statement Attesting to Express Mailing of P.T.O. Correspondence Under 37
C.F.R. 10
7. Certificate of Transmission under 37 C.F.R. §1.8 (PTO/SB/97)

Dated: 4/15/2003

By: 
Natalie King
Telephone No. (509) 624-4276
Facsimile No. (509) 838-3424

NUMBER OF PAGES IN FACSIMILE: 42

Practitioner's Docket No. MI22-1637



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tran, Luan C.

Application No.: 09/834,660

Group No.: 2813

Filed: 04/12/2001

Examiner: Laura Schillinger

For: Semiconductor Processing Methods Of Forming Transistors, Semiconductor Processing Methods Of Forming Dynamic Random Access Memory Circuitry, and Related Integrated Circuitry

Assistant Commissioner for Patents
Washington, D.C. 20231

EV085429464

STATEMENT ATTESTING TO MAILING OF P.T.O. CORRESPONDENCE
UNDER 37 C.F.R. section 10

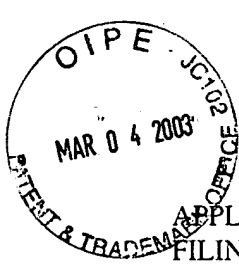
I state that on April 30, 2002, I mailed the original Response to 1/30/2002 Office Action, including the below-listed papers by express mail, in an envelope addressed to the "Assistant Commissioner for Patents, Washington, D.C. 20231." Copies of all papers are attached.

1. Return Receipt Postcard
2. Check no. 134863 for \$180.00.
3. Transmittal Form and Fee Transmittal.
4. Response to January 30, 2002 Office Action w/ a copy of the Filing Receipt and previously submitted 1449 which has not yet been initialed.
5. Supplemental Information Disclosure Statement, PTO Form 1449 and cited reference.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statement may jeopardize the validity of the application or any patent issued therefrom.

Dated this 15th day of January, 2003, at Spokane, Washington..


Jane Boon



EV0327446

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION SERIAL NO. 09/834,660
FILING DATE April 12, 2001
INVENTORSHIP Luan C. Tran
ASSIGNEE Micron Technology, Inc.
GROUP ART UNIT 2813
EXAMINER L. Schillinger
ATTORNEY'S DOCKET NO. MI22-1637
TITLE: Semiconductor Processing Methods Of Forming Transistors, Semiconductor Processing Methods Of
Forming Dynamic Random Access Memory Circuitry, And Related Integrated Circuitry

EX POST DECLARATION OF EXPRESS MAILING

I hereby declare that I have deposited the below-listed papers with the United States Postal Service "Express Mail Post Office to Addressee" service, addressed and posted as indicated below, in compliance with 37 CFR 1.10. The Express Mail label No. has been placed on one or more of the papers listed.

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Date of Deposit: April 30, 2002

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Addressee: Assistant Commissioner For Patents
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Spokane, Washington 99202
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Dated this 30th day of April, 2002, at Spokane, Washington.

JANE BOONE

FMF/rt



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1.334 lbs.		<input checked="" type="checkbox"/>			
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FAX (509) 838-3424 PHONE (509) 624-4276

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04/30/02	MI22-1637 - Supplemental IDS Filing Fee (FF)		180.00		180.00

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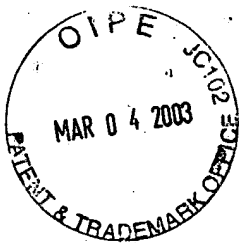
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EV085429464

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MI22-1637
Micron Technology, Inc.

April 30, 2002

The stamp of the U.S. Patent and Trademark Office acknowledges receipt of the following papers relating to the Patent application of Luan C. Tran, Serial No. 09/834,660, filed April 12, 2001, entitled "Semiconductor Processing Methods Of Forming Transistors, Semiconductor Processing Methods Of Forming Dynamic Random Access Memory Circuitry, And Related Integrated Circuitry."

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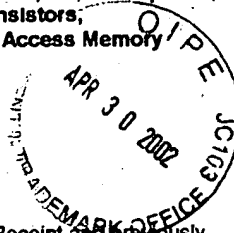
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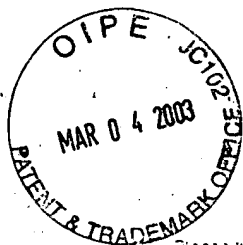
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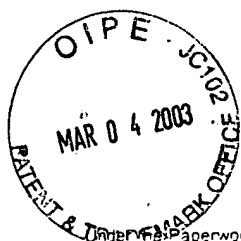
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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/834,660	
	Filing Date	April 12, 2001	
	First Named Inventor	Luan C. Tran	
	Group/Art Unit	2813	
	Examiner Name	L. Schillinger	
Total Number of Pages in This Submission	26	Attorney Docket Number	MI22-1637

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Postcard
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Previously submitted 1449
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	not yet initialed
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	1449 & cited reference
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	The Commissioner is hereby authorized to charge any additional fees required under 37 CFR Sections 1.16 and 1.17 and credit any overpayments to: 23-0925.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Frederick M. Fliegel, Ph.D. Reg. No. 36,138 Wells St. John P.S.
Signature	
Date	Apr. 30, 2002

EV085429464



EV 77327446

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180.00)

Complete if Known

Application Number	09/834,660
Filing Date	April 12, 2001
First Named Inventor	Luan C. Tran
Examiner Name	L. Schillinger
Group Art Unit	2813
Attorney Docket No.	MI22-1637

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number
Deposit Account Name

23-0925

Wells, St. John et al.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	20 - 32 = 0	0	0
Multiple Dependent Claims	4 - 6 = 0	0	0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	180
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180.00)

SUBMITTED BYName (Print/Type) Frederick M. Fliegel, Ph.D.
Signature Registration No. 36,138
(Attorney/Agent)**Complete (if applicable)**

Telephone 509-624-4276

Date

Mar. 30, 2002

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/834,660	04/12/2001	2813	1604	MI22-1637	2	43	9

CONFIRMATION NO. 6625

021567
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SPOKANE, WA 992013828

FILING RECEIPT



OC000000006026001

Date Mailed: 05/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Luan C. Tran, Meridian, ID;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/388,857 09/01/1999

Foreign Applications

If Required, Foreign Filing License Granted 04/30/2001

Projected Publication Date: 08/09/2001

Non-Publication Request: No

Early Publication Request: No

Title

Semiconductor processing methods of forming transistors, semiconductor processing methods of forming dynamic random access memory circuitry, and related integrated circuitry

Preliminary Class



PTO/SB/97 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

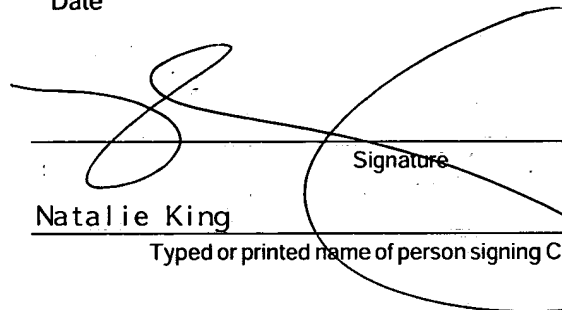
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1. Transmittal Form (PTO/SB/21)
2. Petition for Revival of an Application for Patent Abandoned Unintentionally under 37 C.F.R. §1.137(b)
3. Petition for Revival of an Application for Patent Abandoned Unavoidably under 37 C.F.R. §1.137(a)
4. Copy of the Response to 1/30/2002 Office Action (including) Ex-Post Declaration, PTO Stamped Return Receipt Postcard, and check no. 134863.
5. Petition under 37 C.F.R. §1.181
6. Statement Attesting to Mailing of P.T.O. Correspondence Under 37 C.F.R. §10.101
7. Canceled check no. 134863.

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